SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Kapur Vimal				uer Name and Ticke NEYWELL II				- (Chec	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
<u></u>			[]						Director					
(1	(5)	(1.4) -1.41 -)						— x	Officer (give title below)	Other below	(specify			
(Last)	(First)	(Middle)		e of Earliest Transa /2024	ction (N	lonth/l	Day/Year)		,		,			
855 S. MINT ST	REET		02/11	/2024					Chief Executive Officer					
/(Stract)				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) CHARLOTTE NC 28202								X	Form filed by One	e Reporting Pers	on			
CHARLOTTE	INC.							Form filed by Mor	re than One Rep	orting				
	(0, 1)	(-)								Person				
(City)	(State)	(Zip)	Rul	Rule 10b5-1(c) Transaction Indication										
				heck this box to indica le affirmative defense						, instruction or written p	plan that is intende	d to satisfy		
		Table I - Nor	n-Derivative	Securities Acq	uired	, Dis	posed of,	or Ber	eficially	Owned				
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed Of (D) (Instr. 3, 4) Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)			
Common Stock		02/11/2024		М		1,032	Α	(1)	14,754	D				
Common Stock		02/11/2024		F		453	D	\$194.03	14,301	D				

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or Transaction Disposed Of (D) (Instr. 3, 4 and 5 0 0					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/11/2024		М		1,032	Α	(1)	14,754	D	
Common Stock	02/11/2024		F		453	D	\$194.03	14,301	D	
Common Stock								692.8483	I	Held in a 401k plan
Common Stock								8,622	Ι	Held in a Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

L															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exerc Expiration Da (Month/Day/Y	ate	d 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	02/11/2024		М			1,032 ⁽²⁾	(3)	(3)	Common Stock	1,032(2)	\$ <u>0</u>	2,010 ⁽⁴⁾	D	

Explanation of Responses:

1. Instrument converts to common stock on a one-for-one basis.

2. Includes the reinvestment of dividend equivalents into 42 additional restricted stock units.

3. The Restricted Stock Units were granted under the 2016 Stock Incentive Plan of Honeywell International Inc. and its Affiliates and will vest 33%, 33% and 34% on each of February 11, 2024, February 11, 2026 and February 11, 2028, respectively.

4. Excludes reinvestment of dividend equivalents during the vesting period.

Remarks:

Su Ping Lu for Vimal Kapur

** Signature of Reporting Person

02/13/2024

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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