SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	or Sect		the Investment Company Act of 19	010				
1. Name and Address of Reporting Person <sup>*</sup> Lewis Gregory P	2. Date of Event Requiring Stater (Month/Day/Yea 08/03/2018	nent 📘	3. Issuer Name and Ticker or Trading Symbol <u>HONEYWELL INTERNATIONAL INC</u> [ HON ]					
(Last) (First) (Middle) 115 TABOR ROAD	00/05/2010		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner V Officer (give title Other (specify		er (M	5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street) MORRIS NJ 07950 PLAINS	_		X Onder (give title Other (specify below) below) SVP & Chief Financial Officer			<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person Form filed by More than One Reporting Person</li> </ul>		
(City) (State) (Zip)								
	Table I - Nor	n-Derivati	ve Securities Beneficial	ly Owned				
1. Title of Security (Instr. 4)			Amount of Securities eneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock			4,733	D				
Common Stock			2,254	Ι	Не	eld in 401(k) pla	ın	
			e Securities Beneficially nts, options, convertible		s)			
1. Title of Derivative Security (Instr. 4)	2. Date Exerc Expiration D (Month/Day/	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	se Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) e or Indirect (I) (Instr. 5)		
Employee Stock Options (right to buy)	(1)	02/24/2021	Common Stock	3,520	56.73	D		
Employee Stock Options (right to buy)	()		1					
	(2)	02/28/2022	Common Stock	6,034	59.53	D		
	(2)	02/28/2022		6,034	59.53 69.38	D D		
Employee Stock Options (right to buy) Employee Stock Options (right to buy)			Common Stock	´		_		
Employee Stock Options (right to buy) Employee Stock Options (right to buy)	(2)	02/26/2023	Common Stock Common Stock	6,034	69.38	D		
Employee Stock Options (right to buy) Employee Stock Options (right to buy) Employee Stock Options (right to buy)	(2)	02/26/2023	Common Stock Common Stock Common Stock Common Stock	6,034 20,114	69.38 93.44	D D D D		
Employee Stock Options (right to buy)	(2) (2) (3)	02/26/2023 02/26/2024 02/25/2025	Common Stock Common Stock Common Stock Common Stock Common Stock	6,034 20,114 22,125	69.38 93.44 103.31	D D D D v D		
Employee Stock Options (right to buy) Employee Stock Options (right to buy) Employee Stock Options (right to buy) Employee Stock Options (right to buy)	(2) (2) (3) (4)	02/26/2023 02/26/2024 02/25/2025 02/24/2026	Common Stock Common Stock Common Stock Common Stock Common Stock	6,034           20,114           22,125           24,137	69.38 93.44 103.31 103.07	D D D D D D D D		
Employee Stock Options (right to buy) Employee Stock Options (right to buy)	(2) (2) (3) (4) (5)	02/26/2023 02/26/2024 02/25/2025 02/24/2026 02/27/2027	Common Stock Common Stock Common Stock Common Stock Common Stock	6,034           20,114           22,125           24,137           25,000	69.38 93.44 103.31 103.07 124.99	D D D D D D D D		
Employee Stock Options (right to buy) Employee Stock Options (right to buy) Restricted Stock Units	(2) (2) (3) (4) (5) (6)	02/26/2023 02/26/2024 02/25/2025 02/24/2026 02/27/2027 02/26/2028	Common Stock Common Stock Common Stock Common Stock Common Stock Common Stock	6,034           20,114           22,125           24,137           25,000           3,420	69.38 93.44 103.31 103.07 124.99 155.39	D D D D D D D D D		
Employee Stock Options (right to buy) Employee Stock Options (right to buy)	(2) (2) (3) (4) (5) (6) (8)	02/26/2023 02/26/2024 02/25/2025 02/24/2026 02/27/2027 02/26/2028 (8)	Common Stock Common Stock Common Stock Common Stock Common Stock Common Stock Common Stock	6,034           20,114           22,125           24,137           25,000           3,420	69.38 93.44 103.31 103.07 124.99 155.39 (7)	D D D D D D D D D D		
Employee Stock Options (right to buy) Employee Stock Options (right to buy) Restricted Stock Units Restricted Stock Units Restricted Stock Units	(2) (2) (3) (4) (5) (6) (8) (8) (9)	02/26/2023 02/26/2024 02/25/2025 02/24/2026 02/27/2027 02/26/2028 (8) (9)	Common Stock	6,034           20,114           22,125           24,137           25,000           3,420           3,370	69.38 93.44 103.31 103.07 124.99 155.39 (7) (7)	D D D D D D D D D D D D D		
Employee Stock Options (right to buy) Employee Stock Options (right to buy) Restricted Stock Units Restricted Stock Units	(2) (2) (3) (4) (5) (6) (6) (8) (8) (9) (10)	02/26/2023 02/26/2024 02/25/2025 02/24/2026 02/27/2027 02/26/2028 (8) (9) (10)	Common Stock	6,034           20,114           22,125           24,137           25,000           3,420           3,370           4,023	69.38 93.44 103.31 103.07 124.99 155.39 (7) (7) (7)	D D D D D D D D D D D D D D D D D D D		
Employee Stock Options (right to buy) Employee Stock Options (right to buy) Restricted Stock Units Restricted Stock Units Restricted Stock Units Restricted Stock Units	(2) (2) (3) (4) (5) (6) (6) (8) (9) (10) (11)	02/26/2023 02/26/2024 02/25/2025 02/24/2026 02/27/2027 02/26/2028 (8) (9) (10) (11)	Common Stock         Common Stock	6,034           20,114           22,125           24,137           25,000           3,420           3,420           3,370           4,023           5,000	69.38 93.44 103.31 103.07 124.99 155.39 (7) (7) (7) (7) (7)	D D D D D D D D D D D D D D D D D D D		

Explanation of Responses:

1. The Employee Stock Options were granted under the 2006 Stock Incentive Plan and are fully vested.

2. The Employee Stock Options were granted under the 2011 Stock Incentive Plan and are fully vested.

3. The Employee Stock Options were granted under the 2011 Stock Incentive Plan with 16,594 options fully vested and 5,531 options vesting on February 26, 2019.

4. The Employee Stock Options were granted under the 2011 Stock Incentive Plan with 12,068 options fully vested and 6,035 options vesting on February 25, 2019 and 6,034 vesting on February 25, 2020. 5. The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 6,250 options fully vested and 6,250 options vesting on each of February 28, 2019, February 28, 2020 and February 28,

5. The 2021.

6. The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 6,250 options vesting on each of February 27, 2019, February 27, 2020, February 27, 2021 and February 27, 2022.

7. Instrument converts to common stock on a one-for-one basis.

8. The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with all units vesting on April 22, 2020.

9. The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with 1,659 units vesting on July 31, 2020 and 1,711 units vesting on July 31, 2022.

10. The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with all units vesting on February 25, 2019.

11. The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with 1,650 units vesting on October 3, 2019, 1,650 units vesting on October 3, 2021 and 1,700 units vesting on October 3, 2023.

12. The Restricted Stock Units wee granted under the 2016 Stock Incentive Plan with all units vesting on February 28, 2020.

13. The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with all units vesting on February 27, 2021.

14. Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3.

## Jacqueline Katzel for Gregory 08/10/2018 <u>P. Lewis</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

This Statement confirms that the undersigned, Gregory P. Lewis, has authorized and designated each of Anne T. Madden, Jacqueline Katzel or Su Ping Lu (the "Designees") to execute and file on the undersigned's behalf all Forms 3, 4 and 5 (including any amendments thereto) that the undersigned may be required to file with the U.S. Securities and Exchange Commission as a result of the undersigned's ownership of or transactions in securities of Honeywell International Inc. The authority of the Designees under this Statement shall continue until the undersigned is no longer required to file Forms 4 and 5 with regard to the undersigned's ownership of or transactions in securities of Honeywell International Inc., unless earlier revoked in writing. The undersigned acknowledges that the Designees are not assuming any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

Date: August 3, 2018

/s/ Gregory P. Lewis Gregory P. Lewis