FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. 20549 |  |
|-------------|------------|--|
|-------------|------------|--|

| <b>STATEMENT</b> | <b>OF CHANGES</b> | IN BENEFICIAL | <b>OWNERSHIP</b> |
|------------------|-------------------|---------------|------------------|

| 1 | OMB APPRO                | OMB APPROVAL |  |  |  |  |  |  |  |  |
|---|--------------------------|--------------|--|--|--|--|--|--|--|--|
|   | OMB Number:              | 3235-0287    |  |  |  |  |  |  |  |  |
|   | Estimated average burder | ı            |  |  |  |  |  |  |  |  |
| 1 | hours per response:      | 0.5          |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name ar<br><u>Kapur</u>   |               | Reporting Person*                          |               | ]   |  | EY   | ne <b>and</b> Ticke<br>WELL I |                            |   |                    | L INC [  |                       | (Chec  | ationship of<br>k all applical<br>Director<br>Officer (g | ble)   | j Persoi   | n(s) to Issue<br>10% Ow<br>Other (s) | ner                       |
|--|---------------|--|---------------|---|--|--|-------------------------------|----------------------------|---|--------------------|--|-----------------------|--|--|--|--|--------------------------------------|---------------------------|
| (Last)<br>115 TAB  | (F<br>OR ROAD | First)                                     | (Middle)      |   | 3. Date  |  | arliest Transa                | ction (Mo                  | nth/D   | ay/Year)           |  |                       | X  | below)   | esident 8  | & CEC  | below)                               | Sceny                     |
| (Street)  MORRIS  PLAINS   | 5 N           | IJ   | 07950         | 4   | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |                               |                            |   |                    | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |                       |  |  |  |  |                                      |                           |
| (City)   | (5            | State)                                     | (Zip)         |   |  |  |                               |                            |   |                    |  |                       |  |  |  |  |                                      |                           |
|  |               | Т  | able I - Non- | Deriva  | tive S   | ecu  | rities Ac                     | quired,                    | Dis   | posed o            | f, or Be   | nefic                 | ially (  | Owned  |  |  |                                      |                           |
| Date   |               | 2. Transaction<br>Date<br>(Month/Day/Year) |               | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |  | 3.<br>Transaction<br>Code (Instr.<br>r) 8)  4. Securities Acq<br>Disposed Of (D) ( |                               | ies Acquire<br>Of (D) (Ins | ed (A) o<br>tr. 3, 4 a  | or<br>and 5)       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following  |                       | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)        | Direct Indirect Etr. 4)                                  | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                    |  |                                      |                           |
|  |               |  |               |   |  |  |                               | Code                       | v   | Amount             | (A) oi<br>(D)  | Pri                   | ce   | Reported<br>Transactio<br>(Instr. 3 an                   |  |  |                                      | iiisu. 4)                 |
| Common   | Stock         |  |               | 07/29/2   | 018  |  |                               | М                          |   | 1,593              | В А  | \$1                   | 58.61  | 20,0   | 35   |  | D                                    |                           |
| Common   | Stock         |  |               | 07/29/2   | 018  |  |                               | F                          |   | 548                | D  | \$1                   | 58.61  | 19,4   | 187  |  | D                                    |                           |
| Common   | Stock         |  |               | 07/25/2   | 019  |  |                               | M                          |   | 2,616              | 5 A  | \$1                   | 54.21  | 22,1   | .03  |  | D                                    |                           |
| Common   | Stock         |  |               | 07/25/2   | 019  |  |                               | F                          |   | 638                | D  | \$1                   | 54.21  | 21,46  | 65 <sup>(5)</sup>  |  | D                                    |                           |
| Common   | Stock         |  |               |   |  |  |                               |                            |   |                    |  |                       |  | 23   | 0  |  | I 4                                  | Held in<br>101(k)<br>blan |
|  |               |  | Table II - D  |   |  |  | ities Acqı<br>warrants        |                            |   |                    |  |                       |  | wned   |  |  | ,                                    |                           |
| 1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise (Instr. 3)  Brice of Derivative Security  2. Conversion Date (Month/Day/Year)  3. Transaction Date Execution Date, if any (Month/Day/Year) |               | 4.<br>Transaction<br>Code (Instr.<br>r) 8) |               | Derivative E  |  | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year)                     |                               |                            | 7. Title and Amou<br>Securities Underly<br>Derivative Securit<br>(Instr. 3 and 4) |                    | lying Derivative   |                       | 9. Number of derivative Securities Beneficially Owned Following Reported | re<br>es<br>ally<br>g<br>d                               | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                                      |                           |
|  |               |  |               | Code  | v  | (A)  | (D)                           | Date<br>Exercisal          |   | Expiration<br>Date | Title  | Amou<br>Numb<br>Share |  |  | Transact<br>(Instr. 4)   | uon(s)   |                                      |                           |
| Restricted<br>Units  | (1)           | 02/25/2019                                 |               | M   |  | 2,616 <sup>(2)(3)</sup>  |                               | 02/25/20                   | 19 (  | 02/25/2019         | Common<br>Stock  | 2,61                  | 6(2)(3)  | \$154.21 0   |  | 0 D  |                                      |                           |
| Restricted<br>Units  | (1)           | 07/29/2018                                 |               | М   |  |  | 1,593 <sup>(3)(4)</sup>       | 07/29/20                   | 18 (  | 07/29/2018         | Common<br>Stock  | 1,59                  | 3(3)(4)  | \$158.61   | 0  |  | D                                    |                           |

## **Explanation of Responses:**

- 1. Instrument converts to common stock on a one-for-one basis.
- 2. Includes the reinvestment of dividend equivalents into 156 additional restricted stock units.
- 3. All options and restricted stock units held by the Reporting Person have been adjusted to increase the number of shares and, in the case of the options, reduce the exercise price, in a manner subject to the adjustment provisions of the AdvanSix Inc. spin-off from Honeywell which occurred on October 1, 2016; the Garrett Motion Inc. spin-off from Honeywell which occurred on October 29, 2018.
- 4. Includes the reinvestment of dividend equivalents into 225 additional restricted stock units.
- 5. Includes shares held by Mr. Kapur omitted from his initial Form 3 filing and other subsequent Section 16 filings.

02/27/2019 Su Ping Lu for Vimal Kapur \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.