## SEC Form 4

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPR              | OVAL      |
|-----------------------|-----------|
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| hours per response:   | 0.5       |

|                | Idress of Reporting | g Person <sup>*</sup><br>RNATIONAL INC | 2. Issuer Name and Ticker or Trading Symbol<br><u>RESIDEO TECHNOLOGIES, INC.</u> [ REZI | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |  |  |  |  |
|----------------|---------------------|--|---|--|--|--|--|--|
|                |                     |  | ]   | Director X 10% Owner   |  |  |  |  |
| (Last)         | (First)             | (Middle)                               |   | Officer (give title X Other (specify below)                                |  |  |  |  |
| 115 TABOR ROAD |                     | (                                      | 3. Date of Earliest Transaction (Month/Day/Year)  | See Remarks  |  |  |  |  |
|                |                     |  | 10/25/2018  |  |  |  |  |  |
| (Street)       |                     |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                | 6. Individual or Joint/Group Filing (Check Applicable                      |  |  |  |  |
| MORRIS         | NT                  | 07050                                  |   | Line)  |  |  |  |  |
| PLAINS         | NJ                  | 07950                                  |   | X Form filed by One Reporting Person                                       |  |  |  |  |
|                |                     |  |   | Form filed by More than One Reporting<br>Person                            |  |  |  |  |
| (City)         | (State)             | (Zip)                                  |   |  |  |  |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | Execution Date, Transaction |                         |   |             |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |            |
|---------------------------------|--|-----------------------------|-------------------------|---|-------------|---------------|---|---|---|------------|
|                                 |  |                             | Code                    | v | Amount      | (A) or<br>(D) | Price   | e Reported<br>Transaction(s)<br>(Instr. 3 and 4)                  |   | (Instr. 4) |
| Common Stock, par value \$0.001 | 10/25/2018                                 |                             | <b>A</b> <sup>(1)</sup> |   | 122,966,458 | Α             | \$0.00  | 122,966,458   | D   |            |

|   |   | Tal  | ble II - Derivat<br>(e.g., pւ |                           |  |     |     | ired, Disp<br>options, d   |   |  |  |  | d |  |  |
|---|---|--|-------------------------------|---------------------------|--|-----|-----|--|---|--|--|--|---|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | rsion Date (Month/Day/Year) Execution Date, Transaction of Vortex (Month/Day/Year) of (Month/Day/Year) Secutive Acqu |                               | r<br>osed<br>)<br>r. 3, 4 | Expiration Date<br>(Month/Day/Year)<br>es<br>d |     |     | e and<br>Int of<br>rities<br>rlying<br>ative<br>rity (Instr.<br>4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |  |  |
|   |   |  |                               | Code                      | v  | (A) | (D) | Date<br>Exercisable  | Expiration<br>Date                                  | Title  | Amount<br>or<br>Number<br>of<br>Shares                                   |  |   |  |  |

### Explanation of Responses:

1. On October 25, 2018, Resideo Technologies, Inc. ("Resideo") distributed or otherwise transferred to Honeywell International, Inc. ("Honeywell") 122,966,458 newly issued shares of common stock, par value \$0.001 per share of Resideo.

#### Remarks:

Because an employee of Resideo is a director of Honeywell, Resideo may be deemed to be a director by deputization.

| /s/ Richard E. Kent, Vice        |
|----------------------------------|
| President, Deputy General        |
| Counsel, Finance and             |
| Assistant Secretary              |
| ** Signature of Reporting Person |

10/26/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.