SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ac	dress of Popor	ting Person*	2. Date of Event		3. Issuer Name and Ticker or Trad	ling Symbol			
Kapur Vin	•	ung Person	Requiring State (Month/Day/Yea 05/31/2018	ment	HONEYWELL INTERNATIONAL INC [HON]				
(Last) (First) (Middle) 115 TABOR ROAD					4. Relationship of Reporting Person(s) to Is (Check all applicable) Director 10% O X Officer (give title Other (below) below)		r 06	5. If Amendment, Date of Original Filed (Month/Day/Year) 06/11/2018	
(Street) MORRIS PLAINS	IORRIS NJ 07950				President & CEO, HBT			6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Ziþ)	Table I. No.			0			
1. Title of Security (Instr. 4)					ive Securities Beneficiall 2. Amount of Securities 3eneficially Owned (Instr. 4)				Beneficial Ownership
Common Stock					12,891 ⁽¹⁵⁾	D			
Common Stock					152	Ι	Hel	d in 401(k) pla	n
					e Securities Beneficially (ants, options, convertible		5)		
1. Title of Derivative Security (Instr. 4)			2. Date Exerc Expiration D (Month/Day/	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiratior Date	1 Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Sto	ock Options (right to buy)	(1)	02/24/2021	Common Stock	10,057	56.73	D	
Employee Stock Options (right to buy)			(2)	02/28/2022	Common Stock	5,280	59.53	D	
Employee Stock Options (right to buy)			(2)				60.00		1
E				02/26/2023	B Common Stock	7,040	69.38	D	1
Employee Sto	ock Options (right to buy)	(2)	02/26/2023		7,040 7,542	93.44	D D	
Employee Sto					Common Stock	· ·		_	
	ock Options (right to buy)	(2)	02/26/2024	Common Stock Common Stock	7,542	93.44	D	
Employee Sto	ock Options (right to buy) right to buy)	(2)	02/26/2024	Common Stock Common Stock Common Stock Common Stock	7,542 12,068	93.44 103.31	D	
Employee Sto	ock Options (ock Options (ock Options (right to buy) right to buy) right to buy)	(2) (3) (4)	02/26/2024 02/25/2025 02/24/2026	 Common Stock Common Stock Common Stock Common Stock Common Stock 	7,542 12,068 14,080	93.44 103.31 103.07	D D D D	
Employee Sto Employee Sto Employee Sto	ock Options (ock Options (ock Options (ock Options (right to buy) right to buy) right to buy)	(2) (3) (4) (5)	02/26/2024 02/25/2025 02/24/2026 02/27/2027	 Common Stock Common Stock Common Stock Common Stock Common Stock 	7,542 12,068 14,080 15,500	93.44 103.31 103.07 124.99	D D D D D	
Employee Sto Employee Sto Employee Sto Restricted Sto	ock Options (ock Options (ock Options (ock Options (ock Units	right to buy) right to buy) right to buy)	(2) (3) (4) (5) (6)	02/26/2024 02/25/2025 02/24/2026 02/27/2027 02/26/2028	 Common Stock Common Stock Common Stock Common Stock Common Stock Common Stock 	7,542 12,068 14,080 15,500 16,500	93.44 103.31 103.07 124.99 155.39	D D D D D D D	
Employee Sto Employee Sto Employee Sto	ock Options (ock Options (ock Options (ock Options (ock Units ock Units	right to buy) right to buy) right to buy)	(2) (3) (4) (5) (6) (7)	02/26/2024 02/25/2025 02/24/2026 02/27/2027 02/26/2028 (7)	 Common Stock 	7,542 12,068 14,080 15,500 16,500 1,368	93.44 103.31 103.07 124.99 155.39 (8)	 D D D D D D D D 	
Employee Sto Employee Sto Employee Sto Restricted Sto Restricted Sto	ock Options (ock Options (ock Options (ock Options (ock Units ock Units ock Units	right to buy) right to buy) right to buy)	(2) (2) (3) (4) (5) (6) (6) (7) (9)	02/26/2024 02/25/2025 02/24/2026 02/27/2027 02/26/2028 (7) (9)	 Common Stock 	7,542 12,068 14,080 15,500 16,500 1,368 3,370	93.44 103.31 103.07 124.99 155.39 (8) (8)	D D D D D D D D D D D D D D D D D D D	
Employee Sto Employee Sto Employee Sto Restricted Sto Restricted Sto Restricted Sto	ock Options (ock Options (ock Options (ock Options (ock Units ock Units ock Units ock Units	right to buy) right to buy) right to buy)	(2) (3) (4) (5) (6) (7) (9) (10)	02/26/2024 02/25/2025 02/24/2026 02/27/2027 02/26/2028 (7) (9) (10)	 Common Stock 	7,542 12,068 14,080 15,500 16,500 1,368 3,370 2,354	93.44 103.31 103.07 124.99 155.39 (8) (8) (8) (8)	 D 	
Employee Sto Employee Sto Employee Sto Restricted Sto Restricted Sto Restricted Sto Restricted Sto	ock Options (ock Options (ock Options (ock Options (ock Units ock Units ock Units ock Units ock Units	right to buy) right to buy) right to buy)	(2) (2) (3) (4) (5) (6) (7) (7) (9) (10) (11)	02/26/2024 02/25/2025 02/24/2026 02/27/2027 02/26/2028 (7) (9) (10) (11)	 Common Stock 	7,542 12,068 14,080 15,500 16,500 1,368 3,370 2,354 2,220	93.44 103.31 103.07 124.99 155.39 (8) (8) (8) (8) (8) (8)	 D 	

Explanation of Responses:

1. The Employee Stock Options were granted under the 2006 Stock Incentive Plan and are fully vested.

2. The Employee Stock Options were granted under the 2011 Stock Incentive Plan and are fully vested.

3. The Employee Stock Options were granted under the 2011 Stock Incentive Plan with 9,051 options fully vested and 3,017 options vesting on February 26, 2019.

4. The Employee Stock Options were granted under the 2011 Stock Incentive Plan with 7,040 options fully vested and 3,520 options vesting on February 25, 2019 and 3,520 vesting on February 25, 2020. 5. The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 3,875 options fully vested and 3,875 options vesting on each of February 28, 2019, February 28, 2020 and February 28,

5. The 2021.

6. The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 4,125 options vesting on each of February 27, 2019, February 27, 2020, February 27, 2021 and February 27, 2022.

7. The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with all units vesting on July 29, 2018.

8. Instrument converts to common stock on a one-for-one basis.

9. The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with 1,659 units vesting on July 25, 2019 and 1,711 units vesting on July 25, 2021.

10. The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with all units vesting on February 25, 2019.

11. The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with all units vesting on February 28, 2020.

12. The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with 1,650 units vesting on July 27, 2019; 1,650 units vesting on July 27, 2021 and 1,700 units vesting on July 27, 2023. 13. The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with all units vesting on February 27, 2021.

14. Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3 on May 31, 2018.

15. Due to an administrative error, the total number of shares held is updated to reflect the total number of Honeywell shares held by Mr. Kapur on May 31, 2018.

<u>Su Ping Lu for Vimal Kapur</u> ** Signature of Reporting Person

02/27/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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